

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Yoshio OZAWA)
Application No.: Not Yet Assigned) Group Art Unit:
Filed: March 4, 2004) Examiner:
For: NONVOLATILE)
SEMICONDUCTOR MEMORY)
CELL AND METHOD OF)
MANUFACTURING THE SAME)

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicant brings to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed foreign patent documents are attached. Applicant respectfully requests that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following is a concise statement of relevance of the non-English language documents:

1. Japanese Patent Laid Open (Kokai) No. 10-32313 discloses a semiconductor device, which has oxidation-retarding-layers on and beneath an inter-electrode dielectric film.
2. Japanese Patent Laid Open (Kokai) No. 10-65028 discloses a semiconductor device, which has a ratio of a substantial tunnel dielectric area to a substantial inter-electrode dielectric area.
3. Japanese Patent Laid Open (Kokai) No. 10-335500 discloses a semiconductor device, which has an oxidation-retarding layer beneath an inter-electrode dielectric film.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 4, 2004

By: 

Richard V. Burgujian
Reg. No. 31,744

Enclosures
RVB/FPD/gah

ERNEST F. CHAPMAN
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INFORMATION DISCLOSURE CITATION

Atty. Docket No. 04329.3260	Application No.
Applicant Yoshio OZAWA	
Filing Date March 4, 2004	Group:

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,869,858	02/09/1999	Ozawa et al.			

FOREIGN PATENT DOCUMENTS						
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	
10-32313	02/03/1998	Japan			No	
10-65028	03/06/1998	Japan			No	
10-335500	12/18/1998	Japan			No	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce